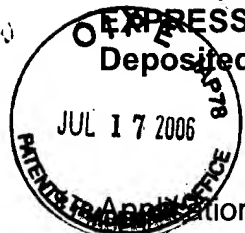


07-18-06

DAC
JFW



EXPRESS MAIL NO.:

EV 718814781 US

PATENT

Deposited On:

July 17, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 09/920,669 Confirmation No.: 6710
Applicant(s) : Charles C. Freeny, Jr.
Filed : August 2, 2001
TC/A.U. : 2194
Examiner : Nguyen, Van H.
Title : MASTER OPERATING SOFTWARE SYSTEM
Docket No. : 2551.062
Customer No. : 30589

MS Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**FAILURE TO NOTIFY OFFICE OF LOSS OF STATUS AS SMALL ENTITY
(37 C.F.R. § 1.28(c))**

1. On July 14 ,2006, a small entity assertion was filed in this

☒ application

☐ Patent

2. It has been discovered that such status as a small entity

☐ established in error

☒ lost on or about November 2005, but through error the Office was not
notified as required by § 1.27(g)(2).

07/19/2006 TBESHAH2 00000071 09920669

01 FC:1501

3. Applicant hereby notifies the Office that it is not entitled to status as a small
entity. 1400.00 OP

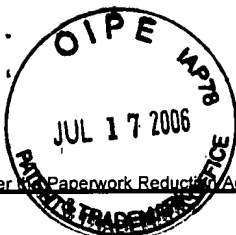
4. **Itemization of the Fee(s) Erroneously Paid as Small Entity**

<u>FEE(S) ERRONEOUSLY PAID AS A SMALL ENTITY</u>	<u>FEE ACTUALLY PAID AS A SMALL ENTITY</u>	<u>DEFICIENCY OWED</u>
<input checked="" type="checkbox"/> Filing fee paid on _____.	\$ _____	\$ _____
<input type="checkbox"/> Fee for excess claims (over 20) paid on _____	\$ _____	\$ _____
<input type="checkbox"/> Fee for multiple claims paid on _____	\$ _____	\$ _____
<input type="checkbox"/> Extension of time fee paid on _____.	\$ _____	\$ _____
<input checked="" type="checkbox"/> The issue fee paid on <u>July 14, 2006</u>	<u>\$700.00</u>	<u>\$700.00</u>
<input type="checkbox"/> _____ maintenance fee paid on _____.	\$ _____	\$ _____
<input type="checkbox"/> Other:	\$ _____	\$ _____
Total deficiency owed		<u>\$700.00</u>

Respectfully submitted,

Marc Brockhaus
 Marc A. Brockhaus
 Reg. No. 40,923
 DUNLAP, CODDING & ROGERS, P.C.
 1601 NW Expressway, Suite 1000
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 (405) 607-8600 - Telephone
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Attorney for Applicant(s)



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/920,669

Filing Date

08/02/2001

First Named Inventor

Charles C. Freeny, Jr.

Art Unit

2194

Examiner Name

Nguyen, Van H.

Attorney Docket Number

2551.062

ENCLOSURES (Check all that apply)

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Reply to Missing Parts/
Incomplete ApplicationReply to Missing Parts
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a
Provisional ApplicationPower of Attorney, Revocation of POA,
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) _____

☐ Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board
of Appeals and InterferencesAppeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please identify
below):

Remarks

1. Fee Transmittal (1 pg);
2. Transmittal Form (1 pg);
3. Credit Card Payment Form (1pg);
4. Notification of Error in
Payment of Fees(s) as Small Entity
5. Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

DUNLAP, CODDING & ROGERS, P.C.

Signature

Marc Brockhaus

Printed name

Marc A. Brockhaus

Date

7-17-2006

Reg. No.

40,923

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: *** SENT BY EXPRESS MAIL NO. EV718814781US ***

Signature

Marc Brockhaus

Typed or printed name

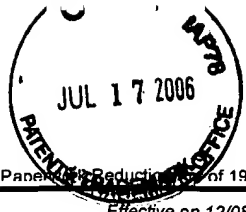
Marc A. Brockhaus

Date

7-17-2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) **700****Complete if Known**

Application Number	09/920,669
Filing Date	08/02/2001
First Named Inventor	Charles C. Freeny, Jr.
Examiner Name	Nguyen, Van H.
Art Unit	2194
Attorney Docket No.	2551.062

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
☐ Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, **except for the filing fee**
☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)
Each independent claim over 3 (including Reissues)
Multiple dependent claims

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	=	0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	=	0

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

Issue Fee deficiency

Fees Paid (\$)

700

SUBMITTED BY

Signature	<i>Marc Brockhaus</i>	Registration No. (Attorney/Agent)	40,923	Telephone	(405) 607-8600
Name (Print/Type)	Marc A. Brockhaus	Date	7-17-2006		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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